

VIA HAND DELIVERY SEPTEMBER 19, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Ni et al.

Docket No.: PF199D2

Application No.: 09/911,346

Group Art Unit: 1646

Filed: July 24, 2001

Examiner: P. Mertz

For: Natural Killer Cell Enhancing Factor C

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TECH CENTER 1600/2900

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9/23/02

AMENDMENT AND RESPONSE

Commissioner for Patents  
Washington, DC 20231

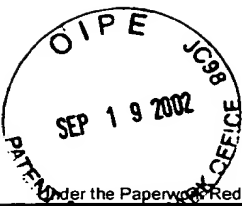
Sir:

This paper is filed in response to the Notice to Comply dated August 26, 2002 for which the one-month shortened statutory period is set to expire on September 26, 2002. This paper is therefore believed to be timely filed and no fees are believed to be due. Applicants submit herewith: (a) copy of the "Notice to Comply with Requirement for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures"; (b) copy of the sequence listing (11 pages); (c) Statement as required under 37 C.F.R. § 1.821(e); and (d) a Fee Transmittal.

AMENDMENTS

IN THE SPECIFICATION

Please insert pages 1-11 of the attached sequence listing into the specification.



PTO/SB/17 (11-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b> <b>for FY 2002</b> <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
		Application Number	09/911,346-Conf. #4955
		Filing Date	July 24, 2002
		First Named Inventor	Jian Ni
		Examiner Name	P. Mertz
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Group Art Unit	1646
<b>TOTAL AMOUNT OF PAYMENT</b>		( <b>\$</b> )	0.00
		Attorney Docket No.	PF199D2

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<b>METHOD OF PAYMENT</b> (check all that apply)		<b>FEE CALCULATION</b> (continued)																																																																																																																																																																																																
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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Lin J. Hymel	Registration No. (Attorney/Agent)	45,414
Signature		Telephone	(301) 251-6015
		Date	September 19, 2002